



Nomura Research Institute Group



September 27, 2021

Company name	Nomura Research Institute, Ltd. (Code: 4307 TSE First Section)
Representative	Shingo Konomoto Chairman and President & CEO, Representative Director, Member of the Board

NRI Announces Status of Acquisition of Treasury Stock and Completion of Acquisition

(Acquisition of Treasury Stock pursuant to the Articles of Incorporation in accordance with the provisions of Article 459, paragraph 1 of Japan's Companies Act)

Nomura Research Institute, Ltd. (NRI) announced today that it has implemented the acquisition of treasury stock, a move that was approved through a resolution passed in a meeting of the Board of Directors held on June 21, 2021, in accordance with the provisions of Article 459, paragraph 1 of Japan's Companies Act. The details are outlined below.

The acquisition of treasury stock in accordance with the aforementioned resolution at the meeting of the Board of Directors is hereby fully completed.

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| 1. Type of stock acquired: | NRI common stock |
| 2. Total number of shares acquired: | 922,400 shares |
| 3. Total acquisition price for shares: | ¥ 4,051,614,497 |
| 4. Acquisition period: | September 1, 2021 – September 22, 2021 |
| 5. Acquisition method: | Market purchase on Tokyo Stock Exchange |

(Reference)

1. Details of resolution concerning acquisition of treasury stock (announced on June 21, 2021)

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| (1) Type of stock to be acquired: | NRI common stock |
| (2) Total number of shares to be acquired: | Up to 20,000,000 shares
(Percentage of total number of issued shares (excluding treasury stock*): 3.30%) |
| (3) Total acquisition price for shares: | Up to ¥60 billion |
| (4) Acquisition period: | June 22, 2021 – December 30, 2021 |
| (5) Acquisition method: | Market purchase on Tokyo Stock Exchange |
- ① Purchase through the Tokyo Stock Exchange off-auction own shares repurchase system (ToSTNeT-3)
 - ② Market purchase based on discretionary trading pertaining to acquisition of treasury stock (This excludes the period from June 22, 2021 to July 16, 2021 and the period during the ten business days from the business day following the date of announcement of NRI's quarterly financial results.)

* Treasury stock does not include NRI shares held by the NRI Group Employees' Stock Ownership Plan Trust.

2. Accumulated treasury stock acquired based on aforementioned meeting of the Board of Directors

- (1) Total number of shares acquired: 16,605,100 shares
- (2) Total acquisition price for shares: ¥59,999,964,481

[General Inquiries]

Kuniaki Fujioka
Nomura Research Institute, Ltd.
Investor Relations Department
+81-3-5877-7072 ir@nri.co.jp